



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q75284

Masahiro OHMORI

Appln. No.: 10/553,395

Group Art Unit: Unknown

Confirmation No.: Unknown

Examiner: Unknown

Filed: October 17, 2005

For: SUBSTRATE FOR PERPENDICULAR MAGNETIC RECORDING MEDIUM AND  
METHOD FOR PRODUCTION THEREOF

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

Also, submitted herewith is a copy of JP-A-10-74318 previously listed in the PTO/SB/08 A & B (modified) form filed with the Information Disclosure Statement of October 17, 2005 and

INFORMATION DISCLOSURE STATEMENT  
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cited in the International Search Report submitted on October 17, 2005. Since this document was previously listed, it is not listed on the attached PTO/SB/08 A & B (modified) form.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(c) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: English language abstracts, submitted herewith, constitute concise statements of relevance for JP-A-2001-209925, JP-B2-2911050 (the abstract for the corresponding JP-A-3-224122), and JP-A-10-158869. Also, computer generated English translations for JP-A-2001-2099255 and JP-A-10-158869, and complete English translation for JP-A-10-74318 are attached herewith. Further, JP-A-2001-209925 is cited on page 1, and JP-B2-2911050 and JP-A-10-158869 are cited on page 2 in the specification of the above-identified application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

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WASHINGTON OFFICE  
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CUSTOMER NUMBER

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**Substitute for Form 1449 A & B/PTO**



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet

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1

Application Number 10/553,395

Application Number	10/555,595
Confirmation Number	Unknown
Filing Date	October 17, 2005
First Named Inventor	Masahiro OHMORI
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	O75284

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**U.S. PATENT DOCUMENTS**

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## FOREIGN PATENT DOCUMENTS

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See *Kind Codes of USPTO Patent Documents* at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the grant of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.